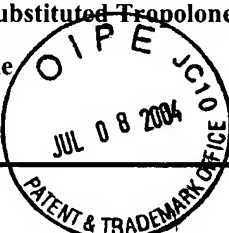


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|--|--------------------------------------|-------------------------------------|---|
| <b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b><br>(Under 37 CFR 1.97(b) or 1.97(c))  |                                      |                                     | Docket No.<br><b>A0000366-C1-03-ESW</b> |
| In Re Application Of: <b>Pauline Pan, et al.</b>   |                                      |                                     |   |
| Serial No.<br><b>10/827,505</b>  | Filing Date<br><b>April 19, 2004</b> | Examiner<br><b>Not yet assigned</b> | Group Art Unit<br><b>1614</b>           |
| Title: <b>Substituted Tropolone Compounds, Oral Care Compositions Containing The Same and Methods of Using The Same</b>  |                                      |                                     |   |
| <div style="display: flex; justify-content: space-between; align-items: center;"><div style="text-align: left;"></div><div style="text-align: center;"><p>Address to:</p><p><b>Assistant Commissioner for Patents</b><br/><b>Washington, D.C. 20231</b></p><p><b>37 CFR 1.97(b)</b></p><p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p><p><b>37 CFR 1.97(c)</b></p><p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p><div style="margin-left: 40px;"><p><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p><p style="text-align: center;"><b>OR</b></p><p><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p></div></div></div> |                                      |                                     |   |

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
A0000366-C1-03-ESW

In Re Application: **Pauline Pan, et al.**

Serial No.

10/827,505

Filing Date

April 19, 2004

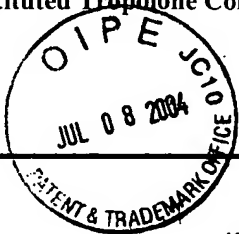
Examiner

Not yet assigned

Group Art Unit

1614

Substituted Tropolone Compounds, Oral Care Compositions Containing The Same and Methods of  
The Same



**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
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*Erika Singleton Wilson*  
Signature

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Phone: 973-3855564

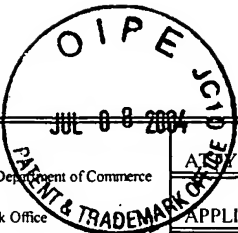
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| <b>Form PTO-1449</b><br>(REV. 8-83) Patent and Trademark Office      |  | U.S. Department of Commerce |  | APP. DOCKET NO. A0000366-C1-03-ESW | SERIAL NO. 10/827,505 |
| INFORMATION DISCLOSURE CITATION<br>(Use several sheets if necessary) |  |                             |  | FILING DATE April 19, 2004         | GROUP 1614            |
| APPLICANT(S) Pauline Pan et al.                                      |  |                             |  |                                    |                       |

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